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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/807,410
Filing Date	04/19/2001
First Named Inventor	Schon, Gisela
Group Art Unit	3636
Examiner Name	
Total Number of Pages in This Submission	
Attorney Docket Number	

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply *claims*
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

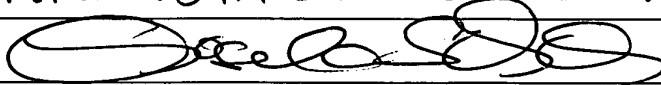
- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

Remarks

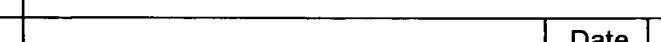
This is a preliminary amendment to above application

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Schon, Gisela Mittelstr. 51 D-52379 Langerwehe
Signature	
Date	17 th of July, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	
Signature	
Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number	09/1807,410
Filing Date	04/19/2001
First Named Inventor	Schon, Gisela
Examiner Name	
Group Art Unit	3636
Attorney Docket No.	

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

- Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

- Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)

Fee Code (\$)

Fee Description

Fee Paid

101	710	201	355	Utility filing fee
106	320	206	160	Design filing fee
107	490	207	245	Plant filing fee
108	710	208	355	Reissue filing fee
114	150	214	75	Provisional filing fee

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	22	-20** = 2 X 9 = 18	
Independent Claims	1	-3** = 0 X 0 = 0	
Multiple Dependent			

Large Entity Small Entity

Fee Code (\$)

Fee Code (\$)

Fee Description

103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee	Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	390	216	195 Extension for reply within second month	
117	890	217	445 Extension for reply within third month	
118	1,390	218	695 Extension for reply within fourth month	
128	1,890	228	945 Extension for reply within fifth month	
119	310	219	155 Notice of Appeal	
120	310	220	155 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,240	241	620 Petition to revive - unintentional	
142	1,240	242	620 Utility issue fee (or reissue)	
143	440	243	220 Design issue fee	
144	600	244	300 Plant issue fee	
122	130	122	130 Petitions to the Commissioner ..	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____				

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

18

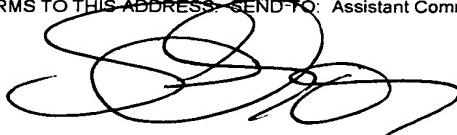
SUBMITTED BY

Complete (if applicable)

Name (Print/Type)		Registration No. (Attorney/Agent)		Telephone
Signature				Date

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter 2
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3"

* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

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JC10 Rec'd PCT/PTO 19 JUL 2001 6/B

3636

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Date: 17.07.01

Ref.: Preliminary Amendment to:

U.S. Application No. 09/807,410 Filing Date 19 April 01 Grp Art Unit 3636 Preliminary Class: 297
The Application is a A371 of PCT/DE99/03352 10/19/1999

Dear Lady, dear Sir,

due to communication problems between my German patent attorney and his US-colleagues my US application failed to be submitted by them and a preliminary amendment was not made by that time either.

Not being represented by a patent attorney at present, I submit the enclosed amendment, made by myself, to meet the deadline of July 19th, 2001.

The content of the new claim 1 describing the 3-dimensional movement has already been subject to the international search as claims 4 and 5 of the PCT-application, and no relevant patents were named in that respect. So I don't know why they were dropped prior to the international preliminary examination.

Please don't be upset, that I submit the enclosed preliminary amendment as it is made by myself, I wanted my 3-dimensional movement back and my so important armrests and backrest too because a known armrest and backrest cannot be used with the intensely moving seat, this motion has to be compensated to be able to keep the hands still, for instance for typing.

It is rather complicated, to find a new US patent attorney from Germany, but I promise herewith, that I will keep trying.

Hoping, that you have some understanding for my predicament and that you accept the enclosed amendment I remain

With best regards



Gisela Schon